



2834

Please type a plus sign (+) inside this box → ☐

RECEIVED  
FEB 12 2003  
TECHNOLOGY

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. PTO/SB/21 (08-00)  
Approved for use through 10/31/2002.  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	<b>Application Number</b>	09/991,501	
	<b>Filing Date</b>	November 16, 2001	
	<b>First Named Inventor</b>	G. E. TORNQUIST	
	<b>Group Art Unit</b>	2834	
	<b>Examiner Name</b>	H. Elkassabgi	
<b>Total Number of Pages in This Submission</b>	16	<b>Attorney Docket Number</b>	H0002284

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Version with Markings to Show Changes; Return receipt postcard
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Paul D. Amrozowicz
Signature	
Date	1/31/03

CERTIFICATE OF MAILING		
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 1/31/03		
Typed or printed name	Cheryl R. Cantore	
Signature		Date
		1/31/03

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



PATENT  
H0002284

RECEIVED

FEB 12 2003

TECHNOLOGY CENTER 2800

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: G. E. TORNQUIST et al.

Serial No.: 09/991,501

Filed: November 16, 2001

For: IMPROVED ROTOR END  
CAPS AND A METHOD OF  
COOLING A HIGH SPEED  
GENERATOR

Group Art Unit: 2834

Examiner: H. Elkassabgi

Commissioner of Patents and Trademarks  
Box Non-Fee Amendment  
Washington, D.C. 20231

**AMENDMENT**

Sir:

In response to the non-final Office Action mailed November 19, 2002 (Paper No. 7),  
please amend the above-identified application as follows:

**IN THE SPECIFICATION:**

Please substitute the following paragraphs for the like-referenced paragraphs that are presently pending in the above-identified application. A marked up version of the substitute paragraphs, indicating all of the changes relative to the previous version, is included in the paper entitled Version with Markings to Show Changes, accompanying this amendment.

1. Replace the paragraph at page 9 and 10, lines 18-23, and 1-8, with the following:  
--Further referring to FIGS. 1 and 2, the rotor assembly 12 includes the end cap 16a over a first end of the rotor body and an end cap 16b over a second end of the rotor body. The first